15w 213

PTO/SB/21 (09-04) (AW 10/2004) Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATE TRACEMENT

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

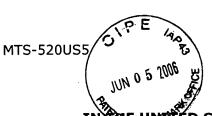
Total Number of Pages in This Submission 5 + 2 References + 1 Japanese Office Action

_		
Application Number	10/017,973	
Filing Date	December 7, 2001	
First Named Inventor	Mitsuaki Oshima et al.	
Art Unit	2137	
Examiner Name	Paul E. Callahan	· · ·
Attorney Docket No.	MTS-520US5	

ENCLOSURES (Check all that apply)					
Fee Transmittal Form Fee Attached			Drawing(s) Licensing-related Papers		After Allowance Communication to TC
Amendment/Reply			Petition Petition		Appeal Communication to Board of Appeals and Interferences
After Final Affidavits/Declar	ration(s)		Petition to Convert to a Provisional Application		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
Extension of Time Req	quest	Power of Attorney, Revocation, Change of Correspondence Address		Proprietary Information	
Express Abandonment	t Request	П	Terminal Disclaimer		Status Letter
Information Disclosure (Supplemental)	Statement		Request for Refund		Other Enclosure(s) (please identify below):
Certified Copy of Priority Document(s) Response to Missing Parts/			CD, Number of CD(s) Landscape Table on CD	2 Re	Form SB/08 ferences panese Office Action · m Postcard
Incomplete Application Response to Mis under 37 CFR 1	n <u>F</u> ssing Parts	Rema	ırk <u>s</u> :		
	SIGNATURE C	OF A	PPLICANT, ATTORNEY OR A	GENT	
Firm Name Signature Printed Name Jack J. Jankovitz Date May 31, 2006 Registration No. 42,690					
CERTIFICATE OF TRANSMISSION / MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:					
Signature	Signature Debord Spratt				
Typed or Printed Name De	eborah Spratt			Date	May 31, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE LINE ED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/017,973

Applicant:

Mitsuaki OSHIMA, et al.

Filed:

December 7, 2001

Title:

MARK FORMING APPARATUS, METHOD OF FORMING LASER MARK ON OPTICAL DISK, REPRODUCING APPARATUS, OPTICAL DĪSK

AND METHOD OF PROPHICING OPTICAL DICK

AND METHOD OF PRODUCING OPTICAL DISK

TC/A.U.:

2137

Examiner:

Paul E. Callahan

Confirmation:

9590

Docket No.

MTS-520US5

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and PTO/SB/08b submitted herewith. A copy of each of the references listed on the PTO/SB/08a and PTO/SB/08b is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

- 1) JP 06-203412
- 2) JP 60-175254

References 1) to 2) include English language abstracts.

Reference 2) includes a partial English translation.

References 1) and 2) were cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of this Japanese Official Action indicating the degree of relevance determined by the foreign patent office, are submitted herewith. MPEP 609 IIIA(3), second paragraph.

Reference JP 02-056750 was previously cited in a Supplemental Information Disclosure Statement dated February 19, 2003.

This Supplemental Information Disclosure Statement is being filed within three months of the filing date of an RCE or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

ack J. Jankovitz Reg. No. 42,690 Attorneys for Applicants

JJJ/ds

Enclosures:

PTO Form SB/08 (2 sheets)

Japanese Official Action

(2) References Transmittal Form

Dated:

May 31, 2006

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

May 31, 2006

Deborah Spratt Puboral Spratt

ds/27302



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known			
Application Number	10/017,973		
Filing Date	December 7, 2001		
First Named Inventor	Mitsuaki Oshima et al.		
Art Unit	2137		
Examiner Name	Paul E. Callahan		
Attorney Docket No.	MTS-520US5		

	U.S. PATENT DOCUMENTS					
Document Number				Pages, Columns, Lines, Where		
Examiner Initials*	Cite No.1	Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶
		JP 06-203412 (with English Abstract)	07-22-1994	EASTMAN KODAK CO.		
		JP 60-175254 (with English Abstract) (with Partial English Translation)	09-09-1985	KAWAOKA NORITAKA		Ø
					-	

Examiner	Date	
Signature	Considered	

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁶Applicant is to place a check mark here if English language translation is attached.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

[&]quot;Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

Complete if Known			
	Application Number	10/017,973	·
	Filing Date	December 7, 2001	
	First Named Inventor	Mitsuaki Oshima et al.	
	Art Unit	2137	
	Examiner Name	Paul E. Callahan	
	Attorney Docket No.	MTS-520US5	

	NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²		
		Japanese Official Action dated March 7, 2006			
Examiner	1	Date			
Signature		Considered			

Signature

Considered. Include copy of this form with next communication to Applicant.

1 Applicant's unique citation designation number (optional).

2 Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.